Medicare Fee-For-Service Provider Enrollment – Rural Health Clinic (RHC): Data Guidance

FOREWORD

This document provides background information and data guidance for the Provider Enrollment – Rural Health Clinic (RHC) files. The ensuing sections of this document are organized to provide policy and data context first, followed by a general overview of the data, followed by instructions for performing common data operations and contact information, concluding with data dictionaries and reference tables to characterize the data.

- Section 1, "Background," provides basic background information pertaining to both policy and the source of the Provider Enrollment RHC data. Additionally, key terms are defined in this section.
- Section 2, "Provider Enrollment RHC Data Contents," provides an overview of the contents of the Provider Enrollment RHC files, including the scope of the data and a description of the files.
- Section 3, "Common Data Operations," gives general methodological instructions for performing common data operations.
- Section 4, "Merging to Non-PECOS data", provides information on other ways of merging this data with other datasets.
- Section 5, "Data Limitations," lists the data limitations that should be kept in mind when using the Provider Enrollment RHC files.
- Section 6, "Contact Information", provides information on the points of contact for inquiries relating to the Provider Enrollment RHC files.
- Appendix A, "RHC Enrollments File Layout," provides the data dictionary for RHC Enrollments file.
- Appendix B, "RHC All Owners File Layout," provides the data dictionary for RHC All Owners file.
- Appendix C, "RHC Additional NPIs File Layout," provides the data dictionary for RHC Additional NPIs file.
- Appendix D, "RHC Additional Addresses File Layout," provides the data dictionary for RHC Additional Addresses file.
- Appendix E, "State Code Reference," provides reference values to state codes.
- Appendix F, "Provider Type Code Reference," provides reference values to provider type codes.
- Appendix G, "Owner Role Code Reference," provides reference values to ownership and managerial control role code values.
- Appendix H, "Revision History," tracks updates and additions related to the Provider Enrollment RHC files.

CONTENTS

The following document provides data guidance on using the Provider Enrollment – RHC files.

Forev	Nora	
1 E	Background	2
1.1	Policy Context	2
1.2	Data Source	2
1.3	Key Terms	2
2 F	Provider Enrollment – RHC Data Contents	5
2.1	Population	5
2.2	Enrollment and Ownership Data	5
3 (Common Data Operations	6
3.1	Identifying All Enrollments for a Provider	6
3.2	Identifying Enrollment Provider Type and Specialty	6
3.3	Identifying Ownership Information for a Provider	6
3.4	Identifying Owners Associated with Multiple RHCs	6
3.5	Identifying and Understanding Facilities with Neither Owners nor Partners	7
3.6	Identifying Additional NPIs for a Provider	7
3.7	Identifying Additional Addresses for a Provider	7
4 N	Merging to Non-PECOS Data	8
5 E	Data Limitations	9
5.1	Multiple NPIs per Enrollment	9
5.2	No Historical Enrollment Information	9
5.3	Additional Enrollment Restrictions	9
6 (Contact Information	10
Appe	ndix A – RHC Enrollments File Layout	11
Appe	ndix B – RHC All Owners File Layout	13
Appe	ndix C – RHC Additional NPIs File Layout	15
Appe	ndix D – RHC Additional Addresses File Layout	16
Appe	ndix E – State Code Reference	17
Appe	ndix F – Provider Type Code Reference	19
Appe	ndix G – Owner Role Code Reference	20
Appe	ndix H – Revision History	21

1 BACKGROUND

This section provides background and context for understanding the Provider Enrollment – RHC files. Section 1.1 describes the policy context and goals leading to the release of the data. Section 1.2 provides information on the data source of the RHC data: the Provider Enrollment, Chain, and Ownership System (PECOS). Section 1.3 defines key terms.

1.1 POLICY CONTEXT

The Public Provider Enrollment Files (PPEF), which were released to the general public for the first time on February 22, 2016, aim to promote and practice data transparency for non-sensitive Medicare information and allow easy access to Medicare provider enrollment data.

As part of CMS' efforts to further improve provider and supplier enrollment data sharing and transparency, CMS is releasing data for all RHCs, as well as their ownership information. This data for Medicare-enrolled RHC providers is a subset of information available in the Provider Enrollment, Chain, and Ownership System (PECOS) – the system of record for Medicare provider enrollment. The data contained in these files is self-reported by the provider via the CMS-855A application. These files will provide a clear and transparent way for providers, suppliers, state Medicaid programs, private payers, researchers, and any other interested individual or organization to leverage Medicare provider enrollment data on RHCs and their ownership and managerial control information.

1.2 DATA SOURCE

The main source of this data is the Provider Enrollment, Chain, and Ownership System, or PECOS (pronounced pey-kohs). PECOS is an electronic Medicare enrollment system and national data repository for individual and organizational providers who have enrolled or are enrolling in Medicare.² CMS' Medicare Administrative Contractors (MACs) enroll providers using PECOS. From these enrolling providers, the MACs through PECOS collect information related to the provider's identity, such as SSN or EIN, specialty, qualifications, practice locations, ownership, billing agency information, reassignment of benefits, and related organizations.

1.3 KEY TERMS

Enrollment ID: A unique 15-digit alphanumeric identifier that is assigned to each new provider enrollment application. All enrollment-level information in PECOS (e.g., enrollment type, enrollment state, provider specialty, reassignment of benefits) is linked through the enrollment ID. Individual enrollment IDs begin with an 'I' and organization enrollment IDs begin with an 'O.'

Medicare Administrative Contractor (MAC): A regional private health care insurer that serves as the primary contact between the Medicare Fee-For-Service (FFS) program and its providers by performing activities such as enrolling providers and processing claims. There are three types of MACs: Parts A/B, Home Health, and Durable Medical Equipment (DME). While MACs oversee a collection of states (jurisdiction), providers typically enroll at the state level.

¹ The application form has instructions for filers. <a href="https://www.cms.gov/Medicare/CMS-Forms/CMS-

² Needless to say, people "enroll" in Medicare to receive health care benefits, and providers and suppliers "enroll" to deliver those benefits. The purpose and mechanics of the two enrollment systems are quite different.

Ownership: There are several dimensions to the ownership of a provider. The owner can be one or more individuals or can be one or more entities (each defined by its Tax Identification Number). When the direct owner is an organization, it too can have one or more owners, which are labeled "indirect owners." In principle, there can be several layers of owners, with the owner at the top of the organization chart being labeled in federal statute as the "ultimate parent." Any entities below the ultimate parent, which is not identified in these data, are its subsidiaries. Ownership is defined broadly to include individuals and firms that have operational or managerial control.

Direct Ownership Interest: Direct ownership interest means the possession of equity in the capital, the stock, or the profits of the disclosing entity.

Indirect Ownership Interest: Indirect ownership interest means any ownership interest in an entity that has an ownership interest in the disclosing entity. Many organizations that directly own a provider are themselves wholly or partly owned by other organizations (or even individuals). This is often the result of the use of holding companies and parent/subsidiary relationships. Such organizations and individuals are considered to be "indirect" owners of the provider.

Organizational Structure Type: That is, corporation, limited liability company, partnership, and sole proprietor.

PECOS Associate Control ID (PAC ID): A unique 10-digit numeric identifier that is assigned to each individual or organization in PECOS. All entity-level information in PECOS (e.g., Tax Identification Numbers and organizational names) is linked through the PAC ID. A PAC ID may be associated with multiple enrollment IDs if the individual or organization enrolled multiple times under different circumstances. Unlike enrollment IDs, there is no provider information embedded within any of the digits of PAC IDs.

Provider Enrollment, Chain, and Ownership System (PECOS): The electronic Medicare enrollment system and national data repository for individual and organizational providers who have enrolled or are enrolling in Medicare. PECOS is the data source of the Provider Enrollment – RHC files.

Provider: For the purposes of this document, a provider is any entity that submitted an enrollment application through the MACs, in order to bill to Medicare for services. Defined in terms of its Tax Identification Number, this includes institutional providers like hospitals and nursing homes.

However, in performing its certification and survey functions, Medicare uses "provider" in a narrower sense, which is more likely to be a single hospital building. The "provider" identification number is now the CMS Certification Number (CCN). Hence, a provider (defined in terms of its TIN) may enroll multiple providers (defined in terms of CCNs).

Provider Type: The type of enrollment application submitted by the provider. Institutional providers submit form CMS-855A.

Tax Identification Number (TIN): The unique identifier assigned by the Social Security Administration (SSA) or the Internal Revenue Service (IRS) to a person or organization. For individuals, the TIN is equivalent to the Social Security Number (SSN). For organizations, the TIN is typically the Employer Identification Number (EIN). From a provider enrollment perspective, CMS considers TINs to be protected information and does not release them in public files.

National Provider Identifier (NPI): A unique 10-digit numeric identifier for covered health care providers that all providers must obtain before enrolling in Medicare. It is assigned to health care providers upon application through the National Plan and Provider Enumeration System (NPPES).

CMS Certification Number (CCN): A CCN, formerly called an OSCAR Number, is a 6-digit Medicare certification number issued for a facility by CMS.

2 PROVIDER ENROLLMENT - RHC DATA CONTENTS

This section provides an overview of the contents of the RHC data. Section 2.1 describes the scope (i.e., population) of the data. Section 2.2 describes and explains the general purpose of the relational data files included: RHC_ENROLLMENTS, RHC_ALL_OWNERS, RHC_ADDITIONAL_NPIS, and RHC ADDITIONAL ADDRESSES.

2.1 POPULATION

The Provider Enrollment – RHC files include all RHC enrollment applications, or enrollments, that were approved to bill Medicare as of the PECOS data version used to produce the files. More precisely, this includes enrollments for Part A providers with a specialty of 'RURAL HEALTH CLINIC.

2.2 ENROLLMENT AND OWNERSHIP DATA

Relational Provider Enrollment Data: For each enrollment in the population specified in Section 2.1 (i.e., all approved RHC enrollments), the Provider Enrollment – RHC files contain basic provider enrollment information; practice location addresses, if applicable; and active ownership information for the enrollment, if applicable.

This information is stored in four separate relational data files. This is due to the one-to-many relationships between enrollment application information and ownership information. A brief description of each file's contents is given below, and Section 3 describes common data operations associated with these files.

RHC_ENROLLMENTS — This file contains a unique listing of all RHC enrollment applications that have been approved to bill Medicare. The enrollment ID (ENROLLMENT ID) is the unique number assigned by PECOS to identify provider enrollment applications. This enrollment ID field is used to link between the three data files included in the Provider Enrollment — RHC files. This file contains the National Provider Identifier (NPI) associated with the enrollment and the PECOS-assigned identifier, PECOS Associate Control ID or PAC ID (ASSOCIATE ID), which is used to identify enrolling providers. This file also includes the enrollment state, type of provider, name, Medicare billing number (CCN), practice location, and contact information.

RHC_ALL_OWNERS — This file contains a unique listing of the associates with active ownership interest or managing control (or "owners") in the RHC enrollments from the RHC_ENROLLMENTS file. The ENROLLMENT ID field can be linked to the ENROLLMENT ID field in the RHC_ENROLLMENTS file.

RHC_ADDITIONAL_NPIS — This file contains additional NPIs found for the RHC enrollments from the RHC_ENROLLMENT file. The ENROLLMENT ID field can be linked to the ENROLLMENT ID field in the RHC_ENROLLMENTS file.

RHC_ADDITIONAL_ADDRESSES — This file contains additional practice location addresses found for the RHC enrollments from the RHC_ENROLLMENT file. The ENROLLMENT ID field in the RHC_ENROLLMENT Stile.

3 COMMON DATA OPERATIONS

This section outlines how to use the Provider Enrollment – RHC files to perform common data operations. The data operations described below are meant to be illustrative of how to use and link the files described in Section 2. Section 3.1 explains how to identify all enrollments for a provider based on PECOS Associate Control ID (PAC ID). Section 3.2 provides instructions for identifying an enrollment's provider type using the PROVIDER TYPE CODE and PROVIDER TYPE TEXT fields. Section 3.3 provides instructions for identifying ownership information for a provider. Section 3.4 explains how to identify an owner or managerial control that is associated with multiple organizations, while Section 3.5 explains how to identify and understand facilities with neither owners nor partners. Sections 3.6 provides instructions for using the RHC_ADDITIONAL_NPIS file. Section 3.7 provides instructions for using RHC ADDITIONAL ADDRESSES file.

3.1 IDENTIFYING ALL ENROLLMENTS FOR A PROVIDER

The PECOS Associate Control ID or PAC ID (ASSOCIATE ID) is used to identify an enrolling provider (i.e., RHC). For most enrollment scenarios, the PAC ID maps to a unique SSN for individual providers and an EIN for organizational providers, with a few rare exceptions such as sole proprietors. A provider may enroll multiple times, under different enrollment scenarios. One common example is when a provider enrolls in multiple states to bill to Medicare in multiple states. For each enrollment, an enrollment ID (ENROLLMENT ID) is issued and associated with the PAC ID. Therefore, one PAC ID may be associated with one or more enrollment ID. All enrollments in the RHC_ENROLLMENTS file that share a PAC ID are associated with the same provider.

3.2 IDENTIFYING ENROLLMENT PROVIDER TYPE AND SPECIALTY

The PROVIDER TYPE CODE and PROVIDER TYPE TEXT fields contain information on the type of each provider. The PROVIDER TYPE CODE field is structured such that the first two digits encode the type of enrollment submitted when the provider enrolled and the last two digits encode the enrollment specialty (the code pairs are separated by a hyphen). For the RHC_ENROLLMENTS file, the enrollment type (first two digits) will always be '00' (PART A PROVIDER) and the enrollment specialty will always be '17' (RURAL HEALTH CLINIC).

3.3 IDENTIFYING OWNERSHIP INFORMATION FOR A PROVIDER

To identify the associates with ownership interest or managing control in the RHC enrollments from the RHC_ENROLLMENT Sile, the ENROLLMENT ID field can be linked to the ENROLLMENT ID field in the RHC_ALL_OWNERS file, where the ASSOCIATE ID - OWNER field is the unique identifier for the associate with an ownership interest in or managing control of the enrollment, and the ROLE TEXT - OWNER field describes this relationship.

3.4 IDENTIFYING OWNERS ASSOCIATED WITH MULTIPLE RHCS

To additionally identify the associates with ownership interest or managing control across multiple organizations within the RHC_ALL_OWNERS file, the ASSOCIATE ID - OWNER field, which contains the unique identifier for the associate with an ownership interest in or managing control of the enrollment, can be used. All enrollments that share ASSOCIATE ID - OWNER have that associate in common. However,

the association role and date may vary. The resulting file can be aggregated to the entity level by grouping at the ASSOCIATE ID - OWNER level to obtain all RHCs the owner is associated with.

3.5 IDENTIFYING AND UNDERSTANDING FACILITIES WITH NEITHER OWNERS NOR PARTNERS

Typically, most ASSOCIATE IDS have a single CCN, but some ASSOCIATE IDS may have several CCNS.

An ASSOCIATE ID without any owner or partner and with a single CCN may have a governing board in lieu of owners. If an ASSOCIATE ID has no owner or partner, but has several CCNs, the ASSOCIATE ID may represent the ultimate owner of a chain of RHCs. It is easy to overlook this ownership information embedded in some ASSOCIATE IDs.

To investigate this possibility, merge a file unique to ASSOCIATE IDs to the RHC_ALL_OWNERS file with only these roles: direct owner (ROLE CODE - OWNER="34"), indirect owner ("35"), general partnership ("38"), and limited partnership ("39"). Retain only ASSOCIATE IDs that do not have any owners or partners. Merge that file to a file with both CCN and ASSOCIATE ID, obtaining a count of CCNs for each ASSOCIATE ID.

3.6 IDENTIFYING ADDITIONAL NPIS FOR A PROVIDER

If an enrollment has the MULTIPLE NPI FLAG field set to yes ('Y') in the RHC_ENROLLMENTS file, then the enrollment can be linked to the ENROLLMENT ID field in the RHC_ADDITIONAL_NPIS file to retrieve additional NPIs not displayed in the RHC_ENROLLMENTS file.

3.7 IDENTIFYING ADDITIONAL ADDRESSES FOR A PROVIDER

If an enrollment has multiple practice location addresses, then the enrollment can be linked to the ENROLLMENT ID field in the RHC_ADDITIONAL_ADDRESSES file to retrieve additional addresses not displayed in the RHC_ENROLLMENTS file. Also, if an enrollment has additional contact information (i.e., telephone number), then the enrollment can be linked to this file to retrieve additional contact information not displayed in the FQHC_ENROLLMENTS file.

4 MERGING TO NON-PECOS DATA

The data in these files can be enhanced by merging them to non-PECOS data. For instance, Medicare Cost Reports³ can be linked to these files using the Medicare provider ID (CCN). Cost reports are submitted by RHCs annually and subsequently are made available to the public. They include variables such as total revenue and costs.

CMS' Public Use Files (PUFs) can also be merged into these non-PECOS data for other information, including profit status, quality measures, star-ratings, provider certification, and other provider characteristics.

When using CCN to merge PECOS and non-PECOS files, be aware of two simple problems. The PECOS file has leading zeros, but the non-PECOS files may not. And a few facilities have a one-letter suffix (the 7th position if there are leading zeros). In general, if these suffixes are dropped, the CCN would probably still be unique to the file.

³ https://www.cms.gov/Research-Statistics-Data-and-Systems/Downloadable-Public-Use-Files/Cost-Reports, accessed 2023-03-03.

http://resdac.umn.edu/sites/resdac.umn.edu/files/Introduction%20to%20Medicare%20Cost%20Reports%20(Slides)_0.pdf, accessed 2023-03-03.

5 DATA LIMITATIONS

Although the Provider Enrollment – RHC files mark an unprecedented release of provider enrollment data, they have a few limitations that are worth noting. These data limitations are detailed below.

5.1 MULTIPLE NPIS PER ENROLLMENT

Organizational providers often have valid enrollment scenarios that would necessitate multiple NPIs per enrollment. For ease of use and to keep the RHC_ENROLLMENTS file unique by enrollment ID, the RHC_ENROLLMENTS file lists just a single NPI per RHC enrollment. CP575 NPIs are prioritized when available.

The RHC_ENROLLMENTS file does include a MULTIPLE NPI FLAG/ MULTIPLE NPI FLAG - BUYER/SELLER to indicate if an enrollment has multiple NPIs, and those additional NPIs can be found in the RHC ADDITIONAL NPIS file.

5.2 NO HISTORICAL ENROLLMENT INFORMATION

The provider enrollment data included in the Provider Enrollment – RHC files do not contain historical enrollment information. While PECOS contains a complete history of provider enrollment data, the Provider Enrollment – RHC files are restricted to data considered to be current.

5.3 ADDITIONAL ENROLLMENT RESTRICTIONS

In addition to restricting to RHC enrollments that are currently approved to bill in Medicare, if applicable, the files may omit a small number of enrollments with PECOS data quality issues such as missing critical enrollment information.

6 CONTACT INFORMATION

Please contact Provider Enrollment Oversight Group at CMS/CPI at ProviderEnrollmentDataRequests@cms.hhs.gov for questions and suggestions related to these files.

APPENDIX A – RHC ENROLLMENTS FILE LAYOUT

#	Name	Description	Туре	Length
1	ENROLLMENT ID	RHC's enrollment ID.	CHAR	15
		An enrollment ID is a unique 15-digit alphanumeric identifier that is assigned to each new provider enrollment application. All enrollment-level information (e.g. enrollment type, enrollment state, provider specialty and reassignment of benefits) is linked through the enrollment ID.		
2	ENROLLMENT STATE	RHC's enrollment state, see State Code Reference Table for description of values.	CHAR	2
3	PROVIDER TYPE CODE	Enrollment application and specialty type code, see Provider Type Code Reference Table for the full list of Part A provider types.		5
4	PROVIDER TYPE TEXT	Description for Provider Type Code.	CHAR	200
5	NPI	RHC's National Provider Identifier (NPI).	CHAR	10
		An NPI is a unique 10-digit numeric identifier that all providers must obtain before enrolling in Medicare. It is assigned to health care providers upon application through the National Plan and Provider Enumeration System (NPPES).		
6	MULTIPLE NPI FLAG	A flag that indicates whether the RHC has more than 1 NPI (Y/N). If yes, additional NPIs are displayed in the RHC Additional NPIs file.		1
7	CCN	RHC's CMS Certification Number (CCN), formerly called an OSCAR Number.		15
8	ASSOCIATE ID	RHC's PECOS Associate Control (PAC) ID. A PAC ID is a unique 10-digit numeric identifier that is assigned	CHAR	10
		to each individual or organizational provider in PECOS. All entity-level information (e.g. tax identification numbers and provider names) is linked through the PAC ID. A PAC ID may be associated with multiple enrollment IDs if the provider is enrolled multiple times under different circumstances.		
9	ORGANIZATION NAME	RHC's legal business name.	CHAR	70
10	DOING BUSINESS AS NAME	RHC's doing-business-as name.	CHAR	70
11	INCORPORATION DATE	Date on which the business is incorporated.	NUM	8
12	INCORPORATION STATE	State in which the business is incorporated, see State Code Reference Table for description of values.		2
13	ORGANIZATION TYPE STRUCTURE	RHC's organization structure type.		60
14	ORGANIZATION OTHER TYPE TEXT	Description of the organization structure if Organization Type Structure is "OTHER".		60
15	PROPRIETARY_NONPRO FIT	"P" if the business is registered as proprietor with the IRS; "N" if registered as non-profit.		1
16	ADDRESS LINE 1	Address line 1 of the RHC's practice location address.	CHAR	55
17	ADDRESS LINE 2	Address line 2 of the RHC's practice location address.	CHAR	55
18	CITY	City of the RHC's practice location address.	CHAR	30

#	Name	Description		Length
19	STATE	State of the RHC's practice location address, see State Code Reference Table for description of values.	CHAR	2
20	ZIP CODE	Zip code of the RHC's practice location address.	CHAR	15
21	TELEPHONE NUMBER	Telephone number of the RHC's practice location address.	CHAR	20

APPENDIX B – RHC ALL OWNERS FILE LAYOUT

#	Name	Description		Length
1	ENROLLMENT ID	RHC's enrollment ID.	CHAR	15
		An enrollment ID is a unique 15-digit alphanumeric identifier that is assigned to each new provider enrollment application. All enrollment-level information (e.g. enrollment type, enrollment state, provider specialty and reassignment of benefits) is linked through the enrollment ID.		
2	ASSOCIATE ID	RHC's PECOS Associate Control (PAC) ID.	CHAR	10
		A PAC ID is a unique 10-digit numeric identifier that is assigned to each individual or organizational provider in PECOS. All entity-level information (e.g. tax identification numbers and provider names) is linked through the PAC ID. A PAC ID may be associated with multiple enrollment IDs if the provider is enrolled multiple times under different circumstances.		
3	ORGANIZATION NAME	RHC's legal business name.	CHAR	70
4	ASSOCIATE ID - OWNER	PAC ID of the "owner" – an associate with ownership or managing control interest in the RHC enrollment.	CHAR	10
5	TYPE - OWNER	Type of owner, "I" for individual and "O" for organization.	CHAR	1
6	ROLE CODE - OWNER	Owner's role code, see Owner Role Code Reference Table for the full list of owner roles.	CHAR	2
7	ROLE TEXT - OWNER	Description for Owner Role Code.	CHAR	100
8	ASSOCIATION DATE - OWNER	Date on which the owner became associated with the RHC.		8
9	FIRST NAME - OWNER	Individual owner's first name.	CHAR	25
10	MIDDLE NAME - OWNER	Individual owner's middle name.		25
11	LAST NAME - OWNER	Individual owner's last name.	CHAR	35
12	TITLE - OWNER	Individual owner's title.	CHAR	35
13	ORGANIZATION NAME - OWNER	Organizational owner's legal business name.	CHAR	70
14	DOING BUSINESS AS NAME - OWNER	Organizational owner's doing-business-as name.	CHAR	70
15	ADDRESS LINE 1 - OWNER	Address line 1 of the organizational owner's mailing address or business address.	CHAR	55
16	ADDRESS LINE 2 - OWNER	Address line 2 of the organizational owner's mailing address or business address.		55
17	CITY - OWNER	City of the organizational owner's mailing address or business address.		30
18	STATE - OWNER	State of the organizational owner's mailing address or business address, see State Code Reference Table for description of values.		2
19	ZIP CODE - OWNER	Zip code of the organizational owner's mailing address or business address.		15
20	PERCENTAGE OWNERSHIP	Owner's ownership percentage in the RHC enrollment.	NUM	8

#	Name	Description	Туре	Length
21	CREATED FOR ACQUISITION - OWNER	A flag that indicates if the organizational owner was solely created to acquire/buy the RHC and/or the RHC's assets (Y/N; blank if not reported).	CHAR	1
22	CORPORATION - OWNER	A flag that indicates if the owner's organization type is corporation (Y/N; blank if not reported).	CHAR	1
23	LLC - OWNER	a flag that indicates if the owner's organization type is limited ability company (Y/N; blank if not reported).		1
24	MEDICAL PROVIDER SUPPLIER - OWNER	A flag that indicates if the owner's organization type is medical provider/supplier (Y/N; blank if not reported).	CHAR	1
25	MANAGEMENT SERVICES COMPANY - OWNER	A flag that indicates if the owner's organization type is management services company (Y/N; blank if not reported).	CHAR	1
26	MEDICAL STAFFING COMPANY - OWNER	A flag that indicates if the owner's organization type is medical staffing company (Y/N; blank if not reported).	CHAR	1
27	HOLDING COMPANY - OWNER	A flag that indicates if the owner's organization type is holding company (Y/N; blank if not reported).	CHAR	1
28	INVESTMENT FIRM - OWNER	A flag that indicates if the owner's organization type is investment firm (Y/N; blank if not reported).	CHAR	1
29	FINANCIAL INSTITUTION - OWNER	A flag that indicates if the owner's organization type is bank or other financial institution (Y/N; blank if not reported).	CHAR	1
30	CONSULTING FIRM - OWNER	A flag that indicates if the owner's organization type is consulting firm (Y/N; blank if not reported).	CHAR	1
31	FOR PROFIT - OWNER	A flag that indicates if the owner's organization type is for profit (Y/N; blank if not reported).	CHAR	1
32	NON PROFIT - OWNER	A flag that indicates if the owner's organization type is non-profit (Y/N; blank if not reported).	CHAR	1
33	PRIVATE EQUITY COMPANY - OWNER	A flag that indicates if the owner's organization type is private equity company (Y if reported; blank if not reported).	CHAR	1
34	REIT - OWNER	A flag that indicates if the owner's organization type is real estate investment trust (REIT) (Y if reported; blank if not reported).		1
35	CHAIN HOME OFFICE - OWNER	A flag that indicates if the owner's organization type is chain home office (Y if reported; blank if not reported).		1
36	OTHER TYPE - OWNER	A flag that indicates if the owner's organization type is not listed on the CMS form (Y/N; blank if not reported).		1
37	OTHER TYPE TEXT - OWNER	Other type of owner's organization that is not listed on the CMS form.		40
38	OWNED BY ANOTHER ORG OR IND - OWNER	A flag that indicates if the owner's organization itself is owned by any other organization or individual (Y/N; blank if not reported).	CHAR	1

APPENDIX C – RHC ADDITIONAL NPIS FILE LAYOUT

#	Name	Description	Type	Length
1	ENROLLMENT ID	RHC's enrollment ID.		15
		An enrollment ID is a unique 15-digit alphanumeric identifier that is assigned to each new provider enrollment application. All enrollment-level information (e.g. enrollment type, enrollment state, provider specialty and reassignment of benefits) is linked through the enrollment ID.		
2	NPI	RHC's National Provider Identifier (NPI). An NPI is a unique 10-digit numeric identifier that all providers must obtain before enrolling in Medicare. It is assigned to health care providers upon application through the National Plan and Provider Enumeration System (NPPES).	CHAR	10

APPENDIX D – RHC ADDITIONAL ADDRESSES FILE LAYOUT

#	Name	Description	Type	Length
1	ENROLLMENT ID	RHC's enrollment ID.	CHAR	15
		An enrollment ID is a unique 15-digit alphanumeric identifier that is assigned to each new provider enrollment application. All enrollment-level information (e.g. enrollment type, enrollment state, provider specialty and reassignment of benefits) is linked through the enrollment ID.		
2	ADDRESS LINE 1	Address line 1 of the RHC's practice location address.		55
3	ADDRESS LINE 2	Address line 2 of the RHC's practice location address.		55
4	CITY	City of the RHC's practice location address.		30
5	STATE	State of the RHC's practice location address, see State Code Reference Table for description of values.		2
6	ZIP CODE	Zip code of the RHC's practice location address.	CHAR	15
7	TELEPHONE NUMBER	Telephone number of the RHC's practice location address.	CHAR	20

APPENDIX E – STATE CODE REFERENCE

Value	Description	
AK	Alaska	
AL	Alabama	
AR	Arkansas	
AS	American Samoa	
AZ	Arizona	
CA	California	
CO	Colorado	
СТ	Connecticut	
DC	District of Columbia	
DE	Delaware	
FL	Florida	
GA	Georgia	
GU	Guam	
HI	Hawaii	
IA	lowa	
ID	Idaho	
IL	Illinois	
IN	Indiana	
KS	Kansas	
KY	Kentucky	
LA	Louisiana	
MA	Massachusetts	
MD	Maryland	
ME	Maine	
MI	Michigan	
MN	Minnesota	
MO	Missouri	
MP	Mariana Islands, Northern	
MS	Mississippi	
MT	Montana	
NC	North Carolina	
ND	North Dakota	
NE	Nebraska	
NH	New Hampshire	
NJ	New Jersey	
NM	New Mexico	
NV	Nevada	
NY	New York	
ОН	Ohio	
OK	Oklahoma	
OR	Oregon	
PA	Pennsylvania	

Value	Description	
PR	Puerto Rico	
PW	Palau	
RI	Rhode Island	
SC	South Carolina	
SD	South Dakota	
TN	Tennessee	
TX	Texas	
UT	Utah	
VA	Virginia	
VI	Virgin Islands	
VT	Vermont	
WA	Washington	
WI	Wisconsin	
WV	West Virginia	
WY	Wyoming	

APPENDIX F – PROVIDER TYPE CODE REFERENCE

Value	Description
00-00	PART A PROVIDER - RELIGIOUS NON-MEDICAL HEALTH CARE INSTITUTION (RNHCI)
00-01	PART A PROVIDER - COMMUNITY MENTAL HEALTH CENTER
00-02	PART A PROVIDER - COMPREHENSIVE OUTPATIENT REHABILITATION FACILITY
00-03	PART A PROVIDER - END-STAGE RENAL DISEASE FACILITY (ESRD)
00-04	PART A PROVIDER - FEDERALLY QUALIFIED HEALTH CENTER (FQHC)
00-05	PART A PROVIDER - HISTOCOMPATIBILITY LABORATORY
00-06	PART A PROVIDER - HOME HEALTH AGENCY
00-08	PART A PROVIDER - HOSPICE
00-09	PART A PROVIDER - HOSPITAL
00-10	PART A PROVIDER - INDIAN HEALTH SERVICES FACILITY
00-13	PART A PROVIDER - ORGAN PROCUREMENT ORGANIZATION (OPO)
00-14	PART A PROVIDER - OUTPATIENT PHYSICAL THERAPY/OCCUPATIONAL THERAPY/SPEECH PATHOLOGY SERVICES
00-17	PART A PROVIDER - RURAL HEALTH CLINIC
00-18	PART A PROVIDER - SKILLED NURSING FACILITY
00-19	PART A PROVIDER - OTHER
00-24	PART A PROVIDER - RURAL EMERGENCY HOSPITAL
00-85	PART A PROVIDER - CRITICAL ACCESS HOSPITAL

APPENDIX G – OWNER ROLE CODE REFERENCE

Value	Description
01	5% OR MORE OWNERSHIP INTEREST
03	PARTNER
25	CONTRACTED MANAGING EMPLOYEE
34	5% OR GREATER DIRECT OWNERSHIP INTEREST
35	5% OR GREATER INDIRECT OWNERSHIP INTEREST
36	5% OR GREATER MORTGAGE INTEREST
37	5% OR GREATER SECURITY INTEREST
38	GENERAL PARTNERSHIP INTEREST
39	LIMITED PARTNERSHIP INTEREST
40	OFFICER
41	DIRECTOR
42	W-2 MANAGING EMPLOYEE
43	OPERATIONAL/MANAGERIAL CONTROL
44	OTHER

APPENDIX H - REVISION HISTORY

Revision	Date	Name	Description of Modification
1	2023-10-02	CPI-PEOG	Baseline document.
2	2025-02-28	CPI-PEOG	Added three new hospital owner's organization types and flag for whether a hospital's owner is owned by another organization/individual (Appendix B).
3	2025-07-28	CPI-PEOG	Added new contact information field for each enrollment (Appendices A & D).