# PRESCRIPTION DRUG PLAN FORMULARY, PHARMACY NETWORK, AND PRICING INFORMATION PUBLIC USE FILE RECORD LAYOUT

### Table Linkage Insulin Beneficiary Drugs covered based on FDA-Cost File approved indication ► CONTRACT ID PLAN ID **IBC Formulary File** ➤ SEGMENT ID CONTRACT ID PLAN ID other vbls RXCUI ◆······ TIER other vbls **Pharmacy Networks File** Plan Information File **Beneficiary Cost File** CONTRACT ID ◀ CONTRACT ID .... CONTRACT ID... PLAN\_ID PLAN\_ID .... PLAN ID 🔫 SEGMENT\_ID ◆ SEGMENT ID SEGMENT ID other vbls **Basic Formulary File** FORMULARY\_ID .... FORMULARY ID other vbls Produces plan networks NDC Rxcui other vbls **TIER TIER Excluded Drugs Formulary** File Geographic Locator File CONTRACT ID • MA REGION CODE MA REGION CODE PLAN ID PDP REGION CODE PDP REGION CODE **RXCUI** COUNTY CODE COUNTY CODE TIER Produces service area other vbls Indicates restrictions and cost sharing for drug **Pricing File** CONTRACT ID Partial Gap Coverage File PLAN ID SEGMENT ID CONTRACT ID NDC PLAN ID FORMULARY ID other vbls **RXCUI** CONTRACT YEAR Produces unit cost Indicates gap coverage for drugs in partially covered tiers

### **PLAN INFORMATION FILE**

| Field Name         | Type(size) | Description   |
|--------------------|------------|---|
| CONTRACT_ID        | Char(5)    | Organization contract number assigned by CMS; the first letter of CONTRACT_ID signals the type of entity:                             |
|                    |            | Hxxxx = Local Medicare Advantage (MA) plan Rxxxx = Regional MA plan   |
|                    |            | Sxxxx = Stand-alone Prescription Drug Plan (PDP)  |
| PLAN_ID            | Char(3)    | Plan identifier assigned by CMS   |
| SEGMENT_ID         | Char(3)    | Segment ID for local MA-PD plans assigned by CMS (zero for all other)   |
| CONTRACT_NAME      | Char(50)   |   |
| PLAN_NAME          | Char(80)   |   |
| FORMULARY_ID       | Char(8)    | Unique Identifier assigned to formulary   |
| PREMIUM            | 9(12.2)    | Monthly premium amount  |
| DEDUCTIBLE         | 9(12.2)    | Annual deductible amount  |
| ICL                | 9(12.2)    | Annual initial coverage limit amount  |
| MA_REGION_CODE     | Char(2)    | Regional MA plan service area (applicable only for "R" contracts); codes are listed in "MA_REGION_CODE values" table.                 |
| PDP_REGION_CODE    | Char(2)    | PDP plan service area (applicable only for "S" contracts); codes are listed in "PBP_REGION_CODE values" table.                        |
| STATE              | Char(2)    | 2-character State code (applicable only for "H" contracts Local MA plans)   |
| COUNTY_CODE        | Char(5)    | 5-character SSA State/County code (applicable only for "H" contracts<br>Local MA plans); see Geographic Locater file                  |
| SNP                | Char(1)    | Is this a Special Needs Plan and, if so, what type? (0=Not a SNP, 1=Chronic or Disabling Condition, 2=Dual-Eligible, 3=Institutional) |
| PLAN_SUPPRESSED_YN | Char(1)    | Was this plan's pharmacy data suppressed?   |

## MA\_REGION\_CODE values

| Value | Description  |
|-------|--|
| 1     | Northern New England (New Hampshire and Maine)   |
| 2     | Central New England (Connecticut, Massachusetts, Rhode Island, and   |
|       | Vermont)   |
| 3     | New York   |
| 4     | New Jersey   |
| 5     | Mid-Atlantic (Delaware, District of Columbia and Maryland)   |
| 6     | Pennsylvania and West Virginia   |
| 7     | North Carolina and Virginia  |
| 8     | Georgia and South Carolina   |
| 9     | Florida  |
| 10    | Alabama and Tennessee  |
| 11    | Michigan   |
| 12    | Ohio   |
| 13    | Indiana and Kentucky   |
| 14    | Illinois and Wisconsin   |
| 15    | Arkansas and Missouri  |
| 16    | Louisiana and Mississippi  |
| 17    | Texas  |
| 18    | Kansas and Oklahoma  |
| 19    | Upper Midwest and Northern Plains (Iowa, Minnesota, Montana, Nebraska, North Dakota, South Dakota and Wyoming) |
| 20    | Colorado and New Mexico  |
| 21    | Arizona  |
| 22    | Nevada   |
| 23    | Northwest (Idaho, Oregon, Utah and Washington)   |
| 24    | California   |
| 25    | Hawaii   |
| 26    | Alaska   |

# PDP\_REGION\_CODE values

| Value | Description  |
|-------|--|
| 1     | Northern New England (New Hampshire and Maine)   |
| 2     | Central New England (Connecticut, Massachusetts, Rhode Island, and Vermont)                                    |
| 3     | New York   |
| 4     | New Jersey   |
| 5     | Mid-Atlantic (Delaware, District of Columbia and Maryland)   |
| 6     | Pennsylvania, West Virginia  |
| 7     | Virginia   |
| 8     | North Carolina   |
| 9     | South Carolina   |
| 10    | Georgia  |
| 11    | Florida  |
| 12    | Alabama, Tennessee   |
| 13    | Michigan   |
| 14    | Ohio   |
| 15    | Indiana, Kentucky  |
| 16    | Wisconsin  |
| 17    | Illinois   |
| 18    | Missouri   |
| 19    | Arkansas   |
| 20    | Mississippi  |
| 21    | Louisiana  |
| 22    | Texas  |
| 23    | Oklahoma   |
| 24    | Kansas   |
| 25    | Upper Midwest and Northern Plains (Iowa, Minnesota, Montana, Nebraska, North Dakota, South Dakota and Wyoming) |
| 26    | New Mexico   |
| 27    | Colorado   |
| 28    | Arizona  |
| 29    | Nevada   |
| 30    | Oregon, Washington   |
| 31    | Idaho, Utah  |

| _ | Value | Description              |
|---|-------|--------------------------|
|   | 32    | California               |
|   | 33    | Hawaii                   |
|   | 34    | Alaska                   |
|   | 35    | American Samoa           |
|   | 36    | Guam                     |
|   | 37    | Northern Mariana Islands |
|   | 38    | Puerto Rico              |
|   | 39    | U.S. Virgin Islands      |
|   |       |                          |

### BASIC DRUGS FORMULARY FILE

| Field Name             | Type(size) | Description  |
|------------------------|------------|--|
| FORMULARY_ID           | Char(8)    | Unique ID assigned to each newly created formulary                       |
| FORMULARY_VERSION      | Char(5)    | Version ID   |
| CONTRACT_YEAR          | Char(4)    | Contract year  |
| RXCUI                  | Char(8)    | RxNorm concept unique identifier   |
| NDC                    | Char (11)  | 11-digit proxy National Drug Code (NDC) associated with the drug product |
| TIER_LEVEL_VALUE       | 9(2)       | Cost share tier level associated with the NDC                            |
| QUANTITY_LIMIT_YN      | Char(1)    | Does this NDC have a quantity limit restriction?                         |
| QUANTITY_LIMIT_AMOUNT  | Char(7)    | Quantity limit amount associated with this NDC                           |
| QUANTITY_LIMIT_DAYS    | Char(3)    | Quantity limit days associated with this NDC                             |
| PRIOR_AUTHORIZATION_YN | Char(1)    | Is prior authorization required for this NDC?                            |
| STEP_THERAPY_YN        | Char(1)    | Does Step Therapy apply to this NDC?                                     |

### **EXCLUDED DRUGS FORMULARY FILE**

| Field Name            | Type(size) | Description  |
|-----------------------|------------|--|
| CONTRACT_ID           | Char(5)    | Organization contract number assigned by CMS                   |
| PLAN_ID               | Char(3)    | Plan identifier assigned by CMS                                |
| RXCUI                 | Char(8)    | RxNorm concept unique identifier                               |
| TIER                  | 9(2)       | Cost share tier associated with this drug product              |
| QUANTITY_LIMIT_YN     | Char(1)    | Does this RXCUI have a quantity limit restriction? 0=No, 1=Yes |
| QUANTITY_LIMIT_AMOUNT | Char(8)    | Quantity limit amount associated with this RXCUI               |
| QUANTITY_LIMIT_DAYS   | Char(3)    | Quantity limit days associated with this RXCUI                 |
| PRIOR_AUTH_YN         | Char(1)    | Is prior authorization required for this RXCUI?                |
| STEP_THERAPY_YN       | Char(1)    | Does Step Therapy apply to this RXCUI?                         |
| CAPPED_BENEFIT_YN     | Char(1)    | Does this RXCUI have a capped benefit restriction?             |
| GAP_COV               | Char(1)    | Is this drug covered in the coverage gap?                      |
|                       |            |  |

### INDICATION BASED COVERAGE FORMULARY FILE

| Field Name  | Type(size) | Description  |
|-------------|------------|--|
| CONTRACT_ID | Char(5)    | Organization contract number assigned by CMS                           |
| PLAN_ID     | Char(3)    | Plan identifier assigned by CMS  |
| RXCUI       | Char(8)    | RxNorm concept unique identifier                                       |
| DISEASE     | Char(100)  | FDA-approved indication for which the RXCUI is considered on-formulary |

### **BENEFICIARY COST FILE**

| Field Name                                    | Type(size)          | Description  |
|---|---------------------|--|
| CONTRACT_ID                                   | Char(5)             | Organization contract number assigned by CMS   |
| PLAN_ID                                       | Char(3)             | Plan identifier assigned by CMS  |
| SEGMENT_ID                                    | Char(3)             | Segment ID for local MA-PD plans assigned by CMS (zero for all other)  |
| COVERAGE_LEVEL                                | 9(1)                | Coverage type for beneficiary with no subsidy. 0=pre-deductible, 1=initial coverage, 2=coverage gap, 3=catastrophic  |
| TIER  | 9(2)                | Cost Share tier value  |
| DAYS_SUPPLY                                   | 9(1)                | Length of days supply to which cost structure applies. 1=30 days, 2=90 days, 3=other, 4=60 days  |
| COST_TYPE_PREF                                | 9(1)                | Type of cost sharing at preferred pharmacies. 0=not offered, 1=copay, 2=coinsurance  |
| COST_AMT_PREF                                 | 9(12.2)             | Amount of cost sharing at preferred pharmacies. If COST_TYPE_PREF=1, this field is interpreted as \$\$\$cc for example, 2.65 is interpreted as \$2.65. If COST_TYPE_PREF=2, this field is interpreted as a 2-decimal-place number for example, .25 is interpreted as 25% |
| COST_MIN_AMT_PREF                             | Char(12)            | Minimum cost sharing at preferred pharmacies.  |
| COST_MAX_AMT_PREF                             | 9(12.2)             | Maximum cost sharing at preferred pharmacies   |
| COST_TYPE_NONPREF                             | 9(1)                | Type of cost sharing at nonpreferred pharmacies. 0=not offered, 1=copay, 2=coinsurance   |
| COST_AMT_NONPREF                              | 9(12.2)             | Amount of cost sharing at nonpreferred pharmacies. See description of COST_AMT_PREF.   |
| COST_MIN_AMT_NONPREF                          | Char(12)            | Minimum cost sharing at nonpreferred pharmacies.   |
| COST_MAX_AMT_NONPREF                          | 9(12.2)             | Maximum cost sharing at nonpreferred pharmacies  |
| COST_TYPE_MAIL_PREF                           | 9(1)                | Type of cost sharing at preferred mailorder pharmacies. 0=not offered, 1=copay, 2=coinsurance  |
| COST_AMT_MAIL_PREF                            | 9(12.2)             | Amount of cost sharing at preferred mailorder pharmacies. See description of COST_AMT_PREF.  |
| COST_MIN_AMT_MAIL_PREF COST_MAX_AMT_MAIL_PREF | Char(12)<br>9(12.2) | Minimum cost sharing at preferred mailorder pharmacies.  Maximum cost sharing at preferred mailorder pharmacies  |
| COST_WAX_AWT_WAIL_FILE                        | 9(12.2)             | Type of cost sharing at preferred mailorder pharmacies. 0=not offered,   |
| COST_TYPE_MAIL_NONPREF                        | 9(1)                | 1=copay, 2=coinsurance   |
| COST_AMT_MAIL_NONPREF                         | 9(12.2)             | Amount of cost sharing at nonpreferred mailorder pharmacies. See description of COST_AMT_PREF.   |

| Field Name                     | Type(size)         | Description   |
|--------------------------------|--------------------|---|
| COST_MIN_AMT_MAIL_NONPREF      | Char(12)           | Minimum cost sharing at nonpreferred mailorder pharmacies.  |
| COST_MAX_AMT_MAIL_NONPREF      | 9(12.2)            | Maximum cost sharing at nonpreferred mailorder pharmacies   |
| TIER_SPECIALTY_YN              | Char(1)            | Is this tier designated as a specialty tier? Note – not all plans offer specialty tiers due to the plan benefit design. Plans are not required to designate any tiers as specialty tiers. |
| DED_APPLIES_YN<br>GAP_COV_TIER | Char(1)<br>Char(1) | Does the deductible apply to this tier? Is this tier fully or partially covered in the coverage gap? 1 = Fully Covered, 2 = Partially Covered, 3 = Not Covered                            |

### **INSULIN BENEFICIARY COST FILE**

| Field Name                   | Type(size) | Description   |
|------------------------------|------------|---|
| CONTRACT_ID                  | Char(5)    | Organization contract number assigned by CMS  |
| PLAN_ID                      | Char(3)    | Plan identifier assigned by CMS   |
| SEGMENT_ID                   | Char(3)    | Segment ID for local MA-PD plans assigned by CMS (zero for all other)   |
| TIER                         | 9(2)       | Cost Share tier value. This field is missing for defined standard plans.  |
| DAYS_SUPPLY                  | 9(1)       | Length of days supply to which cost structure applies. 1=30 days, 2=90 days, 3=other, 4=60 days   |
| COPAY_AMT_PREF_INSLN         | 9(12.2)    | Amount of cost sharing for insulin at preferred pharmacies. This field is interpreted as \$\$\$cc for example, 2.65 is interpreted as \$2.65. |
| COPAY_AMT_NONPREF_INSLN      | 9(12.2)    | Amount of cost sharing for insulin at nonpreferred pharmacies. See description of COPAY_AMT_PREF_INSLN.                                       |
| COPAY_AMT_MAIL_PREF_INSLN    | 9(12.2)    | Amount of cost sharing for insulin at preferred mailorder pharmacies. See description of COPAY_AMT_PREF_INSLN.                                |
| COPAY_AMT_MAIL_NONPREF_INSLN | 9(12.2)    | Amount of cost sharing for insulin at nonpreferred mailorder pharmacies. See description of COPAY_AMT_PREF_INSLN.                             |

### **GEOGRAPHIC LOCATOR FILE**

| Field Name      | Type(size) | Description  |
|-----------------|------------|--|
| COUNTY_CODE     | Char(5)    | 5-character SSA State/county code                            |
| STATENAME       | Char(30)   | State name   |
| COUNTY          | Char(30)   | County name  |
| MA_REGION_CODE  | Char(2)    | Regional MA service area (applicable only for "R" contracts) |
| MA_REGION       | Char(150)  | Description of Regional MA region                            |
| PDP_REGION_CODE | Char(2)    | Plan service area (applicable only for "S" contracts)        |
| PDP_REGION      | Char(150)  | Description of PDP region                                    |

### PHARMACY NETWORKS FILE

| Field Name                | Type(size) | Description   |
|---------------------------|------------|---|
| CONTRACT_ID               | Char(5)    | Organization contract number assigned by CMS  |
| PLAN_ID                   | Char(3)    | Plan identifier assigned by CMS   |
| SEGMENT_ID                | Char(3)    | Segment ID for local MA-PD plans assigned by CMS (zero for all other)                           |
| PHARMACY_NUMBER           | Char(12)   | 12-digit Pharmacy Number. 10 digit National Provider Identifier (NPI) with leading one and zero |
| PHARMACY_ZIPCODE          | Char(5)    | ZIP Code for pharmacy   |
| PREFERRED_STATUS_RETAIL   | Char(1)    | Is the retail pharmacy preferred? (Y/N)   |
| PREFERRED_STATUS_MAIL     | Char(1)    | Is the mail pharmacy preferred? (Y/N)   |
| PHARMACY_RETAIL           | Char(1)    | Is the pharmacy a retail outlet? (Y/N)  |
| PHARMACY_MAIL             | Char(1)    | Is the pharmacy a mailorder outlet? (Y/N)   |
| IN_AREA_FLAG              | 9(1)       | Pharmacy ZIP Code places it in the plan service area (1=yes)                                    |
| FLOOR_PRICE               | 9(8.4)     | Negotiated minimum price that a given pharmacy will be paid for filling a prescription          |
| BRAND_DISPENSING_FEE_30   | 9(8.4)     | Brand Dispensing Fee for a 30 Day Supply  |
| BRAND_DISPENSING_FEE_60   | 9(8.4)     | Brand Dispensing Fee for a 60 Day Supply  |
| BRAND_DISPENSING_FEE_90   | 9(8.4)     | Brand Dispensing Fee for a 90 Day Supply  |
| GENERIC_DISPENSING_FEE_30 | 9(8.4)     | Generic Dispensing Fee for a 30 Day Supply  |
| GENERIC_DISPENSING_FEE_60 | 9(8.4)     | Generic Dispensing Fee for a 60 Day Supply  |
| GENERIC_DISPENSING_FEE_90 | 9(8.4)     | Generic Dispensing Fee for a 90 Day Supply  |

### **PRICING FILE**

| Field Name                      | Type(size)                  | Description   |
|---------------------------------|-----------------------------|---|
| CONTRACT_ID                     | Char(5)                     | Organization contract number assigned by CMS  |
| PLAN_ID                         | Char(3)                     | Plan identifier assigned by CMS   |
| SEGMENT_ID                      | Char(3)                     | Segment ID for local MA-PD plans assigned by CMS (zero for all other)   |
| NDC<br>DAYS_SUPPLY<br>UNIT_COST | Char (11)<br>9(2)<br>9(8.4) | 11-digit proxy National Drug Code (NDC) associated with the drug product Length of days supply to which cost structure applies (30, 60, or 90)  Average unit cost (e.g. per pill) for specified days supply at in-area retail pharmacies.  A pharmacy is considered in-area when it is geographically located in the plan's service area. |

### PARTIAL GAP COVERAGE FILE

| Field Name    | Type(size) | Description  |
|---------------|------------|--|
| CONTRACT_ID   | Char(5)    | Organization contract number assigned by CMS       |
| PLAN_ID       | Char(3)    | Plan identifier assigned by CMS                    |
| FORMULARY_ID  | Char(8)    | Unique ID assigned to each newly created formulary |
| RXCUI         | Char(8)    | RxNorm concept unique identifier                   |
| CONTRACT_YEAR | Char(4)    | Contract year                                      |