

Health Insurance Exchanges Annual Effectuated Enrollment Methodology

Overview

The Health Insurance Exchanges Annual Effectuated Enrollment data provide counts by state and platform and information on annual trends beginning with coverage year 2016. The data file includes counts of total effectuated enrollment for the Federally Facilitated Exchange (FFE), State-Based Exchanges on the Federal platform (SBE-FPs), and State-Based Exchanges (SBEs) and is updated on an annual basis.

The file includes information for the following:

- State
- Year
- Platform
- Effectuated Enrollment
- Count and Percentage of Advanced Premium Tax Credit (APTC) Enrollment
- Count and Percentage of Cost-Sharing Reduction (CSR) Enrollment
- Average Total Premium per Month (for all Consumers)
- Average APTC per Month (for Consumers receiving APTC)
- Average Premium after APTC per Month (for all Consumers)

Enrollment calculation method

The [Annual Effectuated Enrollment](#) data provide annualized data. The annual effectuated enrollee counts are determined using a person-year methodology. For each coverage year, total person-year counts are determined by summing the total number of months that each effectuated enrollee is enrolled during the year and dividing by 12.

An enrollee is considered effectuated if they had an active policy and also paid their first month's premium, if applicable. Effectuated enrollment counts differ from plan selection counts reported in other Marketplace data collection materials. Enrollees are required to pay their premium during the reporting period to be included in the effectuated enrollment count, whereas plan selection counts reported elsewhere reflect initial enrollment, including those who have not yet paid their first month's premium.

[Monthly effectuated enrollment data](#) are available in a separate data file. These data represent the total count of effectuated enrollees in a given month of the indicated year.

Data release schedule and timing

The data are updated annually. These updates reflect an 'as of date' of March 15th in the year following the reference year unless otherwise specified.¹

Data source

The data are gathered from the Centers for Medicare and Medicaid Services Enrollment and Payment System (EPS), which serves as a central repository for capturing, organizing, aggregating, and analyzing payment data for the FFE, SBE-FP and SBE Exchanges. Effectuated enrollment data reflect the date of retrieval from the EPS, usually on March 15th of the calendar year after the reported year.

¹ [Prior publications on effectuated enrollment data](#) may be found on the CMS.gov website.

The following tables supplement the Health Insurance Exchanges [Annual](#) & [Monthly](#) Effectuated Enrollment datasets.

State mapping table reference

The Annual and Monthly Effectuated Enrollment data include the 50 states and the District of Columbia.

Code	Description
AK	Alaska
AL	Alabama
AR	Arkansas
AZ	Arizona
CA	California
CO	Colorado
CT	Connecticut
DC	District of Columbia
DE	Delaware

FL	Florida
GA	Georgia
HI	Hawaii
IA	Iowa
ID	Idaho
IL	Illinois
IN	Indiana
KS	Kansas
KY	Kentucky
LA	Louisiana
MA	Massachusetts
MD	Maryland
ME	Maine
MI	Michigan
MN	Minnesota
MO	Missouri
MS	Mississippi
MT	Montana
NC	North Carolina
ND	North Dakota
NE	Nebraska

NH	New Hampshire
NJ	New Jersey
NM	New Mexico
NV	Nevada
NY	New York
OH	Ohio
OK	Oklahoma
OR	Oregon
PA	Pennsylvania
RI	Rhode Island
SC	South Carolina
SD	South Dakota
TN	Tennessee
TX	Texas
UT	Utah
VA	Virginia
VT	Vermont
WA	Washington
WI	Wisconsin
WV	West Virginia
WY	Wyoming

Platform reference table

The following table describes the platform variables in the data files.

Platform	Description
All	All exchange platforms
HealthCare.gov	Federally Facilitated Exchanges (FFE) and State-Based Exchanges on the Federal Platform (SBE-FPs)
SBE	State-Based Exchanges that operate their own eligibility and enrollment platforms