

Health Insurance Exchanges Monthly Effectuated Enrollment Methodology

Overview

The Health Insurance Exchanges Monthly Effectuated Enrollment data provide counts by state and platform and information on monthly trends beginning with coverage year 2016. The data include counts of total effectuated enrollment for the Federally facilitated Exchange (FFE), State-based Exchanges on the Federal platform (SBE-FPs), and State-Based Exchanges (SBEs).

The file includes information for the following:

- State
- Year
- Month
- Platform
- Effectuated Enrollment Count

Enrollment calculation method

The monthly counts represent the total count of effectuated enrollees in a given month of the indicated year.

An enrollee is considered effectuated if they had an active policy and also paid their first month's premium, if applicable. Effectuated enrollment counts differ from plan selection counts reported in other Marketplace data collection materials. Enrollees are required to pay their premium during the reporting period to be included in the effectuated enrollment count, whereas plan selection counts reported elsewhere reflect initial enrollment, including those who have not yet paid their first month's premium.

Note that the latest monthly data file for the current coverage year may not align with previous months' data due to reporting updates, restatements, or other potential nuances.¹ Please refer to the Data Comparisons and Data Release sections for additional information.

Comparison between annual and monthly data files

In addition to the monthly data file, an annual data file is produced. Differences include:

Annual data

The [Annual Effectuated Enrollment](#) data provide annualized data. The annual effectuated enrollee counts are determined using a person-year methodology. For each coverage year, total person-year counts are determined by summing the total number of months that each effectuated enrollee is enrolled during the coverage year and dividing by 12.

Monthly data

The [Monthly Effectuated Enrollment](#) data, however, provide a simple count of effectuated enrollment for each month within the year, without averaging.

Data release schedule and timing

The Monthly Effectuated Enrollment data file follows a rolling update schedule throughout the coverage year. Key timing details for this data file include the following:

- Effectuated enrollment data for January and February of the current coverage year are initially published in the first data file for the coverage year.
- Subsequent monthly data are generated for the data file 3 months following the coverage month.
- When adding a new month to the data file, prior months' data are restated to provide the most updated monthly data until data for December of the current coverage year are generated and reported.²
- Effectuated enrollment data for the data file are considered final once December of the reference coverage year is generated as of March 15th of the following year and reported in April.

Data source

The data are gathered from the Centers for Medicare and Medicaid Services Enrollment and Payment System (EPS), which serves as a central repository for capturing, organizing, aggregating, and analyzing payment data for the FFE, SBE-FP and SBE Exchanges. Effectuated enrollment data reflect the date of retrieval from the EPS.

¹For example, as of the latest monthly file, Minnesota's data excludes a subgroup of enrollments due to a reporting change, which will be updated for inclusion in the upcoming monthly files.

²[Prior publications on effectuated enrollment data](#) may be found on the CMS.gov website.

The following tables supplement the Health Insurance Exchanges [Annual](#) & [Monthly](#) Effectuated Enrollment datasets.

State mapping table reference

The Annual and Monthly Effectuated Enrollment data include the 50 states and the District of Columbia.

Code	Description
AK	Alaska
AL	Alabama
AR	Arkansas
AZ	Arizona
CA	California
CO	Colorado
CT	Connecticut
DC	District of Columbia
DE	Delaware
FL	Florida
GA	Georgia
HI	Hawaii
IA	Iowa
ID	Idaho
IL	Illinois
IN	Indiana
KS	Kansas
KY	Kentucky

LA	Louisiana
MA	Massachusetts
MD	Maryland
ME	Maine
MI	Michigan
MN	Minnesota
MO	Missouri
MS	Mississippi
MT	Montana
NC	North Carolina
ND	North Dakota
NE	Nebraska
NH	New Hampshire
NJ	New Jersey
NM	New Mexico
NV	Nevada
NY	New York
OH	Ohio
OK	Oklahoma
OR	Oregon
PA	Pennsylvania

RI	Rhode Island
SC	South Carolina
SD	South Dakota
TN	Tennessee
TX	Texas
UT	Utah
VA	Virginia
VT	Vermont
WA	Washington
WI	Wisconsin
WV	West Virginia
WY	Wyoming

Platform reference table

The following table describes the platform variables in the data files.

Platform	Description
All	All exchange platforms
HealthCare.gov	Federally Facilitated Exchanges (FFE) and State-Based Exchanges on the Federal Platform (SBE-FPs)
SBE	State-Based Exchanges that operate their own eligibility and enrollment platforms